



County Hall  
Cardiff  
CF10 4UW  
Tel: (029) 2087 2000  
  
Neuadd y Sir  
Caerdydd  
CF10 4UW  
Ffôn: (029) 2087 2000

## AGENDA

<b>Committee</b>	AUDIT COMMITTEE
<b>Date and Time of Meeting</b>	TUESDAY, 27 MARCH 2018, 2.00 PM
<b>Venue</b>	COMMITTEE ROOM 4 - COUNTY HALL
<b>Membership</b>	Ian Arundale (Chair) Gavin McArthur, David Price, D. Hugh Thomas Councillors Bale, Cowan, Cunnah, Howells, Lay, McGarry, Dianne Rees and Singh

*Time  
approx.*

### 1 **Apologies for Absence**

To receive apologies for absence.

### 2 **Declarations of Interest**

To be made at the start of the agenda item in question, in accordance with the Members' Code of Conduct.

### 3 **Minutes** (*Pages 5 - 16*)

To approve as a correct record the minutes of the meeting held on 30 January 2018.

### 4 **Operational Matters**

- |            |  |         |
|------------|--|---------|
| <b>4.1</b> | Update on the Internal Control Environment ( <i>Pages 17 - 22</i> )<br>Neil Hanratty, Director Economic Development            | 2.05 pm |
| <b>4.2</b> | Public Sector Internal Audit Standards ( <i>Pages 23 - 42</i> )<br>Peer Review Report Marc Crumbie, Chief Audit Executive, RCT | 2.25 pm |

By receiving this Agenda Pack electronically you have saved the Authority approx. £12.71 in printing costs

*This document is available in Welsh / Mae'r ddogfen hon ar gael yn Gymraeg*

<b>5</b>	<b>Finance</b>	
5.1	Finance Update (including financial resilience issues) <i>(Pages 43 - 50)</i>	2.45 pm
5.2	Draft Statement of Accounts/AGS & report any changes in accounting policy <i>(Pages 51 - 92)</i>	2.50 pm
<b>6</b>	<b>Governance and Risk Management</b>	
6.1	Draft Annual Governance Statement 2017/18 <i>(Pages 93 - 112)</i>	3.15 pm
6.2	Corporate Risk Register Qtr 3 Update (to include Corporate Risk Map) <i>(Pages 113 - 136)</i>	3.25 pm
<b>7</b>	<b>Wales Audit Office</b>	
7.1	Annual Audit Plan 2018 <i>(Pages 137 - 166)</i>	3.30 pm
7.2	Cardiff and Vale Pension Fund Annual Plan <i>(Pages 167 - 180)</i>	3.35 pm
7.3	WAO Activity/Report Progress Update - verbal update if required	3.40 pm
<b>8</b>	<b>WAO Tracker/Other Studies</b>	
<b>9</b>	<b>Treasury Management</b>	
9.1	Performance Report <i>(Pages 181 - 186)</i>	3.50 pm
9.2	Treasury Management Practices <i>(Pages 187 - 254)</i>	3.55 pm
<b>10</b>	<b>Internal Audit</b>	
10.1	Internal Audit Team <i>(Pages 255 - 396)</i>	4.05 pm
10.2	Investigation Team <i>(Pages 397 - 400)</i>	4.15 pm
10.3	Final Internal Audit Charter 2018-19 and Final Audit Plan 2018-19 <i>(Pages 401 - 422)</i>	4.20 pm
10.4	Fraud Bribery and Corruption Policy 2017 <i>(Pages 423 - 444)</i>	4.25 pm
<b>11</b>	<b>Scrutiny Correspondence</b>	4.30 pm
<b>12</b>	<b>Outstanding Actions</b>	
<b>13</b>	<b>Work Programme Update</b> <i>(Pages 445 - 446)</i>	
<b>14</b>	<b>Urgent Business</b>	

**15 Date of next meeting**

The next meeting will be held on 26 June 2018  
(subject to Full Council 24 May 2018)

**Davina Fiore**

**Director Governance & Legal Services**

Date: Wednesday, 21 March 2018

Contact: Graham Porter, 029 2087 3401, g.porter@cardiff.gov.uk